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36736 7590 08/17/2007

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/758,056	01/15/2004	Charles Philip Fricano	RSW920030224US1	5114

TITLE OF INVENTION: APPARATUS FOR MANAGING A CACHE IN A DISTRIBUTED CACHING ENVIRONMENT HAVING AN EVENT AUDITOR AND A CACHE AUDITOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	11/19/2007
EXAMINER				11/14/2007 AWONDAF2 00000037 090461	10758056	
SURYAWANSHI, SURESH		2115	711-141000	01 FC:1501 02 FC:1504	1440.00 DA 300.00 DA	

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(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. DUKE W. YEE  
2. MARTIN MCKINLEY  
3. GERALD H. GLANZMAN

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE  
INTERNATIONAL BUSINESS  
MACHINES CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY)  
ARMONK, NY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Peter B. Manzo  
Typed or printed name PETER B. MANZO

Date 10/12/2007  
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